# COMMON APPLICATION FORM
(For Lumpsum, SIP & Multi-Scheme SIP Investments)

L&T Mutual Fund

App. No.

Time Stamp

| Distributor Code | Sub-Distributor Code | Branch Code | Relationship Manager's Details | |
|---|---|---|---|---|
| ARN-ARN-52719 | ARN- | | EUIN | Name |
| | | | E079989 | Mobile No. +91- |
| | | | | E-Mail ID |

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

| Transaction Charges | Investor's Declaration where EUIN is not furnished |
|---|---|
| **SEBI (Mutual Funds) Regulations, 1996 allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs. 10,000/-.**<br>**If this is the first time, you are investing in any mutual fund, please tick here** ☐ | **I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/ or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.**<br>Sole/1st Applicant ____ 2nd Applicant ____ 3rd Applicant ____ |

## EXISTING UNIT HOLDER'S INFORMATION (Section 1)

If you hold a Folio with L&T Mutual Fund, please furnish the below information and move to Investment & Payment details Section

Folio No. ____ PAN of Sole/1st Unit Holder ____

Name of Sole/1st Unit Holder ____

## NEW SOLE/FIRST APPLICANT'S PERSONAL INFORMATION (Section 2)

1st Applicant's Name ____

Date of Birth D D M M Y Y Y Y **Mobile No. +91-** ____ E-mail Id* ____
(Mandatory if first applicant is a minor)

*Investors providing e-mail id will receive account Statements, Annual Report & other communication vide e-mail in lieu of physical copy. If you wish to receive physical copies, please tick here ☐

If the Sole/First Applicant is a minor (i.e. below 18 years of age as on the date of this application, please provide below details) :

Guardian's Name ____

| Proof of Date of Birth of Applicant (✓) | Guardian's Relationship with Applicant (✓) | Proof of Relationship of Guardian with Applicant (✓) |
|---|---|---|
| ☐ Birth Certificate Copy | ☐ Father | ☐ Birth Certificate Copy |
| ☐ Passport Copy | ☐ Mother | ☐ Passport Copy |
| ☐ Aadhaar Card Copy | ☐ Court Appointed Guardian | ☐ Court Appointment Order |
| ☐ Others (please specify) | | ☐ Others (please specify) |

PAN of Sole/First Applicant ____ Aadhar Card No. of 1st Applicant/Guardian ____

**Tax Status (✓)**

| | | | | |
|---|---|---|---|---|
| ☐ Resident Indian Individual | ☐ Non-Resident Indian Individual (NRI) | ☐ Company/Body Corporate | ☐ Limited Liability Partnership (LLP) | ☐ Society |
| ☐ Partnership Firm | ☐ Foreign Institutional Investor (FII) | ☐ Trust | ☐ Defence Establishment | ☐ Mutual Fund |
| ☐ Foreign Portfolio Investor (FPI) | ☐ Financial Institution | ☐ Association of Persons (AOP)/ Body of Individuals (BOI) | ☐ Bank | ☐ Others (please specify) |
| ☐ Non-Govt. Organisation(NGO) | ☐ Government Body | ☐ Hindu Undivided Family (HUF) | ☐ Person of Indian Origin (PIO) | |

## FOR INDIVIDUALS ONLY - Sole/First Applicant (Additional mandatory details to be filled in) (Section 3)

**Country of Birth (✓)**
- ☐ India
- ☐ U.S.A.
- ☐ Others (please specify)

**Country of Tax Residence (✓)**
- ☐ India
- ☐ U.S.A.
- ☐ Others (please specify)

**Tax ID** ____

**Occupation (✓)**

| | | |
|---|---|---|
| ☐ Private Sector Service | ☐ Business | ☐ Student |
| ☐ Public Sector Service | ☐ Housewife | ☐ Forex Dealer |
| ☐ Government Service | ☐ Retired | ☐ Agriculturist |
| ☐ Professional | ☐ Others (please specify) | |

**Gross Annual Income (Rs.) (✓)**
☐ <= 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs to 1 Crore ☐ > 1 Crore

**Net Worth of Sole/1st Applicant Rs.** ____ **as on** D D M M Y Y Y Y

If you are a **politically exposed person** or **related to a politically exposed person** please (✓) appropriate option.
☐ I am a politically exposed person. ☐ I am related to a politically exposed person.

## ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

L&T Mutual Fund

Received from ____ an application for

investment in Scheme ____ Option ____

App. No.

Investment Type (✓) ☐ Lumpsum ☐ SIP ☐ Multi-SIP

Investment Cheque Details : Cheque No. ____ Rs. ____ Dated D D M M Y Y Y Y

Drawn on Bank ____ Branch ____ City ____

For Office Use Only

Acknowledgement Stamp & Date

**Gross Annual Income (Rs.) (✓)**

☐ <= 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs to 1 Crore ☐ > 1 Crore

**Net Worth (Mandatory) Rs.** Networth should not be older than one year **as on** D D M M Y Y Y Y

If you are a **politically exposed person** or **related to a politically exposed person** please (✓) appropriate option.

☐ I am a politically exposed person. ☐ I am related to a politically exposed person.

**Additional Details for Investments through Attorney**

If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a **notarised copy** of the Power of Attorney for registering the same :

POA Holder's Name

**PAN of POA Holder for 2nd Applicant**

**Aadhaar Card No. of POA Holder for 2nd Applicant**

***(POA Holder needs to comply with applicable KYC requirements)***

**THIRD APPLICANT'S PERSONAL INFORMATION (Please note that where the sole/first applicant is a minor, no joint holders are allowed) (Section 8)**

3rd Applicant's Name

**PAN of 3rd Applicant**
(Mandatory to comply with applicable KYC requirements)

**Aadhaar Card No. of 3rd Applicant**

**Country of Birth (✓)**

☐ India
☐ U.S.A.
☐ Others
(please specify)

**Country of Tax Residence (✓)**

☐ India
☐ U.S.A.
☐ Others
(please specify)

**Tax ID**

**Occupation (✓)**

| | | |
|---|---|---|
| ☐ Private Sector Service | ☐ Business | ☐ Student |
| ☐ Public Sector Service | ☐ Housewife | ☐ Forex Dealer |
| ☐ Government Service | ☐ Retired | ☐ Agriculturist |
| ☐ Professional | ☐ Others (please specify) | |

**Gross Annual Income (Rs.) (✓)**

☐ <= 1 Lac ☐ 1 - 5 Lacs ☐ 5 - 10 Lacs ☐ 10 - 25 Lacs ☐ 25 Lacs to 1 Crore ☐ > 1 Crore

**Net Worth of Sole/1st Applicant Rs.** _____ **as on** D D M M Y Y Y Y

If you are a **politically exposed person** or **related to a politically exposed person** please (✓) appropriate option.

☐ I am a politically exposed person. ☐ I am related to a politically exposed person.

**Additional Details for Investments through Attorney**

If your investment is being made by a Constituted Attorney on your behalf, please furnish the below details and enclose a **notarised copy** of the Power of Attorney for registering the same :

POA Holder's Name

**PAN of POA Holder for 3rd Applicant**

**Aadhaar Card No. of POA Holder for 3rd Applicant**

***(POA Holder needs to comply with applicable KYC requirements)***

**Mode of Operation (✓)**

☐ **Sole/First Holder only** ☐ **Either or Survivor** ☐ **Joint**

*(If the mode of operation is not specified above, for folios opened with more than one applicant, the mode of operation would be taken as "JOINT")*

**NOMINATION DETAILS (Section 9)**

➢ Mandatory for new folios/accounts. ➢ Every new nomination shall overwrite the existing nomination in the folio/account

I/We, (First Applicant) (Second Applicant)* and (Third Applicant)* do hereby nominate the following persons(s) more particularly described hereunder/and*/cancel the nomination made by me/us on the day of in respect of the Units under Folio No (*strike out which is not applicable)

| | Name and Address of Nominees(s) | Date of Birth (In case nominee is a Minor) | Name & Address of Guardian (to be furnished in case the Nominee is a Minor) | Signnature of Guardian (In case nominee is a Minor) | Proposition(%) by which the units will be shared by each Nominee(Should aggregate to 100%) |
|---|---|---|---|---|---|
| Nominee 1 | | DD/MM/YYYY | | | |
| Nominee 2 | | DD/MM/YYYY | | | |
| Nominee 3 | | DD/MM/YYYY | | | |

Signature of Nominee 1 Signature of Nominee 2 Signature of Nominee 3

**If the investor/Unit holders, do not wish to nominate (✓)** ☐ I/We do not intend to appoint a nominee in respect of our investments